Quantitative Rectangular Notch Detection of Laser-induced Lamb Waves in Aluminium Plates with Wavenumber Analysis

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Abstract: It is difficult to quantitatively detect defects by using the time domain or frequency domain features of Lamb wave signals due to their dispersion and multimodal characteristics. Therefore, it is important to discover an intrinsical parameter of Lamb waves that could be used as a damage sensitive feature. In this paper, quantitative defect detection in aluminium plates is carried out by means of wavenumber analysis approach. The wavenumber of excited Lamb wave mode is a fixed value, given a frequency, a thickness and material properties of the target plate. When Lamb waves propagate to the structural discontinuity, new wavenumber components are created by abrupt wavefield change. The new wavenumber components can be identified in the frequency-wavenumber domain. To estimate spatially dependent wavenumber values, a short-space two-dimensional Fourier transform(FT) method is presented for processing wavefield data of Lamb waves. The results can be used to determine the location, size and depth of rectangular notch. The analysis techniques are demonstrated using simulation examples of an aluminium plate with a rectangular notch. Then, the wavenumber analysis method is applied to simulation data that are obtained through a range of notch depths and widths. The results are analyzed and rules of the technique with regards to estimating notch depth are determined. Based on simulation results, guidelines for using the technique are developed. Finally, experimental wavefield data are obtained in aluminium plates with rectangular notches by a full non-contact transceiving method, i.e., laser-laser method. Band-pass filtering combined with continuous wavelet transform is used to extract a certain frequency component from the full laser-induced wavefield with wide band. Short-space two-dimensional FT method is used for further processing full wavefield data at a certain frequency to estimate spatially dependent wavenumber values. The consistency of simulation and experimental results shows the effectiveness of proposed wavenumber method for quantitative rectangular notch detection.

Key words: wavenumber analysis; short-space two-dimensional Fourier transform(FT); notch; aluminium plate


0 Introduction

Notch is one of the most common defect types in aluminium aircraft structures. Lamb waves have shown great potential for non-destructive testing and structural health monitoring purposes in plate-like structures due to their ability to propagate long distances with low energy loss and their reasonable sensitivity for defects of different types[1-4]. Defect detection technology based on Lamb waves can effectively identify and locate defects by using transducer array, but there are still many challenges in the quantitative detection of defects[5]. The recent progresses on wavefield analysis technique have provided a new way to achieve quantitative detection of defects[6-7]. Some scholars have also proposed the phased array imaging method for defects detection.

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and location in plates, as well as the wavefield analysis and other techniques for defect quantification\cite{8-9}. Recently, with the development of laser ultrasonic technology, ultrasonic optical testing equipment, represented by laser Dopper vibrometer and two-wave mixing interferometer, has been widely used in the wavefield measurement. The two-wave mixing interferometer provides a high spatial resolution measurement of the out-of-plane displacement component at both one-dimensional (1D) and two-dimensional (2D) spaces, and wavefield signal is further analyzed by multi-dimensional Fourier transform (FT) to reveal the characterization of the defect\cite{10}. In the existing wavefield analysis based on multi-dimensional FT, the wavenumber analysis exhibits great potential in the quantitative detection of defects\cite{11-16}.

This paper illustrates the process of the wavenumber analysis to realize the quantitative detection of the rectangular notch depth, and provides a feasible non-contact quantitative defect detection method. Firstly, the simulation models of different notches with different depths and widths are established to obtain the effects of notch depth, notch width and window width on the quantitative detection accuracy of wavenumber analysis. Then, the finite element analysis is performed on experimental plates and the simulated data are analyzed by wavenumber analysis. Further, the experimental system is established, and the aluminum plate with different notch depths are quantitatively detected. In the experiment, the Nd:YAG pulsed laser is used as the actuator of Lamb waves, and the signal is obtained by two-wave mixing interferometer. Finally, the results of experiment and simulation verify the effectiveness of wavenumber and analysis method.

1 Wavenumber Analysis

Wavenumber is one of the intrinsic parameters of Lamb waves. The wavenumber of excited Lamb wave mode is a fixed value for a given frequency, a given thickness and material properties of the plate. Thus, for the determined excitation frequency and plate properties, the corresponding plate thickness can be calculated according to wavenumber. The defects in plate-like structure will cause the thickness of the plate change. Therefore, the depth of the defect can be quantitatively evaluated according to the wavenumber corresponding to the defect area.

1.1 Lamb wave dispersion characteristics

Lamb waves are dispersive and multi-modal. From Rayleigh-Lamb equation, the relationship between frequency and wavenumber can be calculated in plates. Fig. 1 depicts Lamb waves dispersion curves of a 2 mm-thick aluminium plate. As shown in Figs. 1 (a, b), when the values of frequency-thickness product is lower than 1.5 MHz \cdot mm, only the fundamental Lamb waves modes, $A_0$ and $S_0$ modes, exist. As the product of frequency and thickness increases, more Lamb wave modes (such as $A_1$, $A_2$, $S_1$, and $S_2$) appear.

![Lamb waves dispersion curves of a 2 mm-thick aluminium plate](image)

The dispersion characteristics can be represented by Fig. 1 (b) for aluminium plate with different thicknesses. Compared with the $S_0$ mode, the phase velocity of the $A_0$ mode changes more greatly with plate thickness due to its highly dis-
persive nature at low frequency-thickness product ($fd$). Thicker plates will cause greater product of frequency and thickness for a given frequency $f$, which will cause greater phase velocity eventually. According to the equation $k = 2\pi f / v_p$, greater phase velocity $v_p$ results in smaller wavenumber $k$. Thinner plates will cause greater wavenumber conversely. Therefore, the $A_0$ mode at low frequency is selected to evaluate the thickness of aluminium plate for the detection of defect depth.

1.2 Two-dimensional Fourier transform

$M$ measured points are taken with a spatial resolution of $\Delta x$, and the number of samples per point is $N$, thus a spatial-temporal data matrix $u(x, t)$ with dimension of $M \times N$ can be obtained. The concept of Fourier analysis is straightforwardly extended to multi-dimensional signals, such as the spatial-temporal waveform data $u(x, t)$. The frequency-wavenumber representation of a spatial-temporal signal can be interpreted as an alternative representation of the signal in terms of the familiar temporal frequency variable $\omega$ and the wavenumber $k$. For 1D wave propagation, its representation in frequency-wavenumber domain is obtained by 2D FT. Spatial-temporal signal $u(x, t)$ can be transformed to frequency-wavenumber domain by using 2D FT, which is defined as

$$U(k, \omega) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} u(x, t) \exp^{-j\omega t - jkx} \, dx \, dt$$  \hspace{1cm} (1)

where $x$ is the distance between a actuator and a receiver, $t$ the time variable, $\omega$ the frequency variable, and $k$ the wavenumber. According to Eq. (1), the amplitude distribution of frequency-wavenumber spectrum depends on wavenumber component contained in waveform $u(x, t)$ at an interested frequency $\omega_0$. From the dispersion characteristics of Lamb waves, it can be found that the change of the plate thickness at the defect will produce new wavenumber components, which implies that the amplitude distribution of wavenumber spectrum will change at an interested frequency $\omega_0$ in the frequency-wavenumber domain.

1.3 Space-frequency-wavenumber analysis

The waveform data is transformed from the time-space domain to the frequency-wavenumber domain through 2D FT which reveals the wave propagation characteristics that can not be clearly seen in the time-space domain. However, this approach cannot retain the space or time information. Therefore, according to the change of wavenumber spectrum distribution at a certain center frequency, only whether there exists a defect in the signal propagation path can be determined, while the specific location of the defect remains unknown. Similar to the idea of the short-time FT using a windowing technique, a short-space 2D FT is adopted in this paper to perform the space-frequency-wavenumber analysis where the spatial information is retained. Mathematically, this process can be expressed as

$$Z(x, k, \omega) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} u(x, t)$$

$$W^*(x - x', t) \exp^{j\omega t - jkx} \, dx \, dt$$  \hspace{1cm} (2)

where $x_i$ is the space index. $W(x, t)$ the 2D window function. If $|x| \leq D_s/2$, the function of $W(x, t)$ can be given

$$W(t, x) = 0.5 \left[ 1 + \cos \left( 2\pi \frac{x}{D_s} \right) \right]$$  \hspace{1cm} (3)

Otherwise, $W(t, x) = 0$. Here, $D_s$ is the window width in space domain. Previous research has shown that the window width should be greater than at least two wave periods in order to accurately represent the spectral peak$^{[17]}$. The phase velocity of Lamb waves is calculated according to the wavenumber of the defect region, and the frequency-thickness product corresponding to the phase velocity in the theoretical dispersion curves is selected to calculate the thickness of the aluminium plate at the defect region, so as to evaluate the defect depth.

2 Rectangular Notch Simulation

2.1 Simulation methodology

2D simulation has been implemented using the ABAQUS finite element package in this study. An aluminium plate of 2 mm thickness and 1000 mm length was modelled for obtaining the effects of notch depth, notch width and window
width on the quantitative detection accuracy of wavenumber analysis. Material properties of the aluminium plate are listed in Table 1.

<table>
<thead>
<tr>
<th>Density/ ( \text{kg} \cdot \text{m}^{-3} )</th>
<th>Young’s ( \text{modulus/} \text{Gpa} )</th>
<th>Poisson’s ( \text{ratio} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>2700</td>
<td>71</td>
<td>0.33</td>
</tr>
</tbody>
</table>

A schematic illustration of the simulation model is shown in Fig. 2. \( A_6 \) mode was input according to the displacement mode shape of \( A_6 \) in the left end of plate. Here, the three-cycle excitation signal modulated by Hanning window at the center frequency of 200 kHz was used. The simulation was solved using a fixed time stepping solver with 1 ns time step and 0.1 mm maximum quadratic element size in order to satisfy the requirements of the stability and accuracy of the solution. Notch was 100 mm away from the left end of the model.

![Fig. 2 Schematic illustration of simulation model](image)

### 2.2 Simulated wavefield analysis

As illustrated in Fig. 2, taking the left end of the model as the starting point, the out-of-plane components were taken from left to right side of the model with a spatial resolution of 1 mm, and 281 points were extracted. Take the defected model as an example, the notch width \( w \) is 20 mm and the depth \( d \) is 1.5 mm, and the simulation data were analyzed by space-frequency-wavenumber analysis method. A 2D Hanning window with a width of 20 mm (equal to notch width) was selected and the moving step size was 1 mm. The resulted time-space wavefield and its frequency-wavenumber spectrum are shown in Fig. 3(a) and Fig. 3(b), respectively. Fig. 3(a) shows transmitted, reflected and multiple reflected waves caused by notch, as well as the incident wave. Furthermore, when the signal propagates inside the notch, the wave velocity decreases (the slope of the incident waves decreases in the space-time coordinate system), which is consistent with the propagation characteristics of \( A_6 \) mode shown in Fig. 1(b). The frequency-wavenumber spectrum is plotted as amplitude versus frequency and wavenumber in Fig. 3(b), together with the theoretical dispersion curves. As shown in Fig. 3(b), the \( A_6 \) mode can be clearly observed, and the simulation results match well with the theoretical dispersion curves. It can be also observed that the new wavenumber component induced by notch matches well with the theoretical dispersion curves of aluminium plate with 0.5 mm thickness. Note that in the frequency-wavenumber spectrum, negative wavenumbers represent waves that propagate opposite to the incident wave.

Fig. 3(c) presents the space-wavenumber spectrum at 200 kHz. As shown in Fig. 3(c), the spectrum corresponding to waves propagating in the defect-free zone is centered about the wavenumber at 0.72 rad/mm, which is the same as the theoretical wavenumber value of \( A_6 \) mode at 200 kHz in the pristine region of the model. Furthermore, the spectrum corresponding to multiple reflected waves inside the notch increases distinctly and it is centered about the wavenumber at 1.32 rad/mm, which is the same as the theoretical wavenumber value of \( A_6 \) mode at 200 kHz in the defect region of the model. Fig. 3(d) shows space-wavenumber curves plotted by calculating the weighted average of the wavenumber at each location. Therefore, the location of the notch and the wavenumber of the notch region can be determined using the peak coordinates of the space-wavenumber curve.

### 2.3 Parameters analysis by wavenumber analysis

The analysis procedure outlined in Section 2.3 was applied to simulation data which were obtained through a range of notch depths and widths. Notch width ranged from 3 mm to 13 mm in 1 mm increments with a constant 1.8 mm notch depth. Window width ranged from 8 mm to
percent error versus the ratio of notch width to window width $W_n/W_w$. As the notch width increases and approaches the window width, the error is dramatically reduced.

![Fig. 4 Effect of notch width on calculated wavenumber at the frequency of 200 kHz and with a 1.8 mm deep notch](image)

Simulations were also performed when notch depth varied from 0.1 mm to 1.8 mm in 0.1 mm increments with constant 30 mm notch width, in order to study the effect of notch depth on calculated wavenumber. Window width ranged from 8 mm to 13 mm. The theoretical wavenumber and calculated wavenumber are shown in Fig. 5(a). Theoretical wavenumber was determined by solving the antisymmetric Rayleigh-Lamb equation at 200 kHz for propagation in a plate with a thickness equal to that of the region inside the notch. Agreement between the theoretical and the calculated wavenumbers was achieved, particularly for the notch depth more than 1.2 mm where maximum percent error is 3.6%. For each notch depth, a larger window results in greater accuracy, particularly for more shallow notch. For the shorter wavelengths corresponding to thinner plate or deeper notch, more cycles of the wave were contained within the window. As shown in

Fig. 3 Space-frequency-wavenumber analysis of simulation data for the model with notch defect
Fig. 5 (b), calculated wavenumber percent error is presented versus a normalized window size equal to the product of wavenumber and window width. The normalized window size indicates the number of wavelengths contained in the window. Measurement error is less than 1.7% for normalized window sizes greater than two, which corresponds to two wave periods within the window.

The simulation results show that when determining the window width, a balance must be struck between increasing window width to ensure multiple wavelengths within the window while reducing window width to ensure the notch width larger than the window width. Therefore, in order to minimize error in wavenumber measurement, the window width must be at least as large as two wavelengths and the notch must be at least as large as the window width.

2.4 Simulation analysis for experimental plate

Using the same modeling method, an aluminum plate of 1.7 mm thickness and 1000 mm length was modeled. Taking the left end of the model as the starting point, the out-of-plane components were taken from the left to the right side of model with a spatial resolution of 0.5 mm, and 561 points were extracted. The center frequency of excitation signal was 140 kHz, and other simulation parameters remained unchanged. Both pristine and defected simulated data were analyzed using space-frequency-wavenumber analysis. Two notches with different depths were detected: 20 mm × 0.9 mm and 20 mm × 1.4 mm. Hanning window with a width of 20 mm (equal to notch width) was selected and moving step size was 0.5 mm.

Fig. 6 shows analysis results of the pristine plate. As shown in Fig. 6(a), there is only incident signal in the time-space wavefield due to the absence of defects. There is no signal attenuation in the simulation, so it can be seen from Fig. 6(b) that the energy of the signal does not drop clearly as the propagation distance increases. Furthermore, it can be clearly observed from Fig. 6(c) that the wavenumber did not change significantly
in the propagation path, and the predicted and calculated wavenumbers well agrees.

Simulated signals obtained from defected models were analyzed, and the results are shown in Figs. 7, 8. Compared with the time-space wavefield, it can be seen that transmitted signal components decrease and multiple reflection phenomenon within the notch becomes more obvious as the notch depth increases. Furthermore, as the notch depth increases, the proportion of wavefield energy increases in the defect region as shown in Fig. 7(b) and Fig. 8(b). As the notch depth increases, more portion of Lamb waves are trapped inside the notch due to multiple reflections from the notch boundary, while less Lamb waves are transmitted through notch. As shown in Fig. 7(c) and Fig. 8(c), the wavenumber significantly increases in the defect region. Evaluation results of notch depth obtained from simulation data are listed in Table 2.

![Fig. 7](image-url)  
**Fig. 7** Space-frequency-wavenumber analysis of simulated data for the model with a 0.9 mm deep notch

![Fig. 8](image-url)  
**Fig. 8** Space-frequency-wavenumber analysis of simulation data for the model with a 1.4 mm deep notch

<table>
<thead>
<tr>
<th>Evaluation result</th>
<th>Notch depth/mm</th>
</tr>
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<tbody>
<tr>
<td>Frequency/kHz</td>
<td>0.9 1.4</td>
</tr>
<tr>
<td>Measured wavenumber/(rad mm⁻¹)</td>
<td>0.86 1.44</td>
</tr>
<tr>
<td>Phase velocity/(m s⁻¹)</td>
<td>1018 610.4</td>
</tr>
<tr>
<td>Frequency-thickness product/(kHz mm)</td>
<td>115.2 40.6</td>
</tr>
<tr>
<td>Measured thickness/mm</td>
<td>0.823 0.29</td>
</tr>
<tr>
<td>Measured depth/mm</td>
<td>0.877 1.41</td>
</tr>
<tr>
<td>Percent error/%</td>
<td>2.5 0.7</td>
</tr>
</tbody>
</table>

3 Experiment

3.1 Test specimens

Three aluminium plates with dimension of 1000 mm × 1000 mm × 1.7 mm labelled as No. 1, No. 2 and No. 3 were used for testing. No. 1 aluminum plate had no defect. The notch defect
of 30 mm × 20 mm × 0.9 mm was machined on No. 2 aluminium plate and the other notch defect of 30 mm × 20 mm × 1.4 mm was machined on No. 3 aluminium plate. From the back side of the defect, the schematic of the test aluminium plate is shown in Fig. 9. Taking the location of the pulsed laser spot as the origin, a total of 401 scan points along the positive direction of x axis from the x=0 mm to 200 mm were taken with a spatial resolution of Δd = 0.5 mm. Note the sampling interval Δd was selected first by meeting the Nyquist sampling theorem spatially, i.e. Δd ≤ λ/2, where λ is the wavelength of the interrogating wave. To improve the signal-to-noise ratio, the measurement at each scan point was averaged for 30 times. To further improve the measurement quality, reflective tape (produced by 3M corporation, 8850 model, approximately 0.1 mm thick) is used to ensure the consistency of smoothness of the surface of the aluminium plates.

Fig. 9 Schematic of the test aluminium plate

3.2 Experimental setup

The diagram of non-contact laser ultrasonic testing system is shown in Fig. 10. The excitation was implemented by a Nd:YAG pulsed laser. Data acquisition was completed with two-wave mixing interferometer. Then, the data was further collected by a digital oscilloscope (Tektronix DPO 4054B) at a time sampling rate of 50 MHz.

During excitation, the laser controller provided energy for Nd: YAG pulse laser to cause energy transitions in its internal crystal to generate a pulsed laser, which was emitted by the FHY excitation head and acted on the surface of the aluminium plate. At the same time, the laser controller provided the oscilloscope with a synchronous trigger signal to ensure the synchronous excitation and reception of the laser ultrasonic signals.

On the receiving side, the continuous laser was output by a continuous-wave fiber laser, which was split into two beams after passing through the laser splitter drawer. One of them was directly input to the demodulator as a reference light, the other was irradiated to the surface of the aluminium plate via a FHY measurement head, and then the laser (signal light) reflected by the surface of the aluminium plate and carrying the ultrasonic signal received by the FHY measurement head again, and eventually transmitted to the demodulator. In demodulator, the ultrasonic signal was demodulated by reference light and signal light. Finally, it was received and displayed by an oscilloscope. The FHY measurement head was mounted on the 2D control platform, and the position of the meas -
urement head can be accurately controlled by the computer. In order to avoid the influence of the air shock wave generated by thermoelastic mechanism on the received signal, the excitation and reception probes were divided into two sides of the aluminium plate.

The wavelength of the laser produced by the Nd: YAG pulse laser in the system was 1 064 nm, the effective duration was 10 ns, the energy of single laser pulse was 0–50 mJ, and the diameter of the spot was about 1.2 mm when the spot was focused. Laser single-pulse energy adjustment and excitation mode selection can be achieved through the laser controller. The laser ultrasonic receiver adopted the AIR-1550-TWM two-wave mixing interferometer produced by the American IOS company. The continuous laser wavelength was 1 550 nm, the spot radius was at least 200 μm, and the detection bandwidth was 125 MHz. In the experiment, in order to ensure that the laser excites the ultrasonic signal under the thermoelastic mechanism to avoid damage to the surface of the aluminium plate, the laser single-pulse energy was set to 10 mJ. To increase the signal-to-noise ratio, the laser excitation and receiving beams were kept perpendicular to the surface of aluminium plate. The experimental system of non-contact laser ultrasonic testing system is shown in Fig. 11.

3.3 Analysis of received wavefield by laser-laser method

On the basis of band-pass filtering, continuous wavelet transform was used to get wavefield signals with center frequency of 140 kHz. According to the Shannon entropy minimum theory\cite{18} of wavelet coefficients, gaus9 was selected as the mother wavelet. In No. 3 aluminium plate, the time-domain signals of Lamb waves and its frequency spectra received by the receiving spot at 50 mm away from the excitation spot are shown in Fig. 12. As shown in Fig. 12(a), the time-

![Image](image_url)

**Fig. 11** Experimental system of non-contact laser ultrasonic testing system

![Image](image_url)

**Fig. 12** Time-domain signals and frequency spectra of laser-induced Lamb waves at 50 mm distance from the receiving spot
domain signal of the original laser-induced Lamb waves contain a lot of high-frequency noises, the direct arrival signal and defect-reflecte
4 Conclusions

In this paper, the quantitative detection of notch defects in aluminium plates has been carried out by means of wavenumber analysis. Firstly, the simulated signals were analyzed to obtain the effects of notch depth, notch width and window width on the quantitative detection accuracy of wavenumber analysis. The results show that in order to minimize error in wavenumber measurement, the window width must be at least as large as two wavelengths and the notch must be at least as large as the window width. This implies that higher frequency excitations should perform better due to shorter wavelength and therefore smaller available window width and more accurate characterization of small defects. Laser-laser method was used in experimental investigation for signal excitation and reception. The simulation results showed that as the notch depth increase, the notch depth evaluation error decreases. However, in the experimental results, the detection error of notch with 1.4 mm depth increased. The poor consistency of experimental signals and Gaussian white noise in experimental data may be responsible for this phenomenon. We only briefly illustrate some factors that influence the detection accuracy of detecting the existence of some wavenumber, and have not considered other factors. The simulation and experimental results verify the feasibility of the wavenumber analysis method on evaluating the depth of the rectangular notch, which implies the method’s potential in the quantitative detection of defects.

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References:


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