

Cold Welding of Au Nanostructures at Room Temperature

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Abstract: The common Au nanostructures (nanospheres, nanorods and nanosheets) were prepared by the seed growth method to explore the cold welding phenomenon of these non-single crystal nanostructures at room temperature. Systematic studies show that the concentration of surfactant cetyltrimethylammonium bromide (CTAB) and drying conditions are important factors to determine the evolution and final configuration of nanostructures during welding. The key factor of cold welding is the concentration of surfactant as low as 0.3 mm / L, and the welding should be carried out under the condition of slow evaporation and sufficient relaxation time, rather than rapid drying process. At the same time, the structural evolution during the welding process of gold rod head and tail is simulated by combining the electronic microscope characterization and density functional theory, which reveals that the stability of the welding nanostructure is better than that of the dispersed nanostructure. In the slow evaporation process of Au nanostructures with the same crystal structure, the low surfactant attached to the surface of the nanoparticles increases the attraction between the nanoparticles, which makes the nanoparticles close to each other adhere due to the interaction, and improves the physical properties of the intersection due to the diffusion, epitaxy and surface relaxation of the metal surface atoms. The results provide a research basis for the physical property analysis of nanostructures and the construction of defect devices.

Key words: cold welding; Au nanostructures; oriented-attachment; room temperature; density function theory

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0 Introduction

In the past few years, extensive efforts have been devoted from self-assembly of metal nanostructures to multifunctional units due to the particular coupling effects of surface plasmon resonance (SPR)^[1]. The coupling effect arises from the interaction between small-sized nanoparticles, which is sensitive to the spatial arrangement of nanoparticles, the interparticle distance and the particle number of assembly. Particularly, polymers and small organic ligands are often used as stabilizers to achieve the desired self-assembling structures^[2-3], which prevents the aggregation of the adjacent particles that adsorbed on the surface of the nanoparticles. It is worth to note that gold nanoparticles are

easy to approach and coalescence under an electron beam, similar to the welding process^[4-5], which has been developed as a fabrication technique involving the process from the fusion and cooling of metals to the construction of a strong joint, such as ion beam deposition^[6-7], thermal or laser heating^[8-9], ultrasonic irradiating^[10], and high-energy electron beam bombardment^[11-12]. However, the process usually requires critical temperature and reaction condition, thus it is difficult to control localized heating at the nanoscale. Cold welding of nanostructures (nanoparticles^[13], nanorods^[4], and nanowires^[14-16]) at room temperature have been investigated since two gold thin films were joined together in the 1940s. The metal nanostructures with clean surface can rapidly form a neck with the adjacent particles even at small

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thermal activation, leading to surfactant-stabilized gold nanoparticles^[17-18] or nanorods^[19-20] assembled to micrometric-length gold nanowires by selective self-organization and cold welding^[21-25]. Cold welding at the nanoscale can be widely applied into optoelectronic devices due to its operability in ambient condition and spontaneous nature. In addition, the coupling effect, especially for the localized field enhancement induced by the interaction of SPR would be extinct, as confirmed by finite-difference time-domain simulation. The characteristic modes (such as dipole or multipole resonance) are dominated in the resonance modes of the product^[26-28]. While, current research mainly focuses on the process from cold welding of ultrathin single-crystalline nanostructures to the formation of nanoaggregations. The welding mechanism of the twinned crystalline nanostructures need to be further demonstrated.

Herein, we study cold welding phenomena of twinned crystalline nanostructures including Au nanoparticles, nanorods and nanoplates at room temperature. Effects of the structural configurations, surfactant concentration and drying condition on the welding are explored. The surfactant concentration is an important factor, which directly determines the cold welding of nanostructures. Combined with the experimental observation and density function theory (DFT) simulation, the structural evolution during the welding process was studied. It also can be found that the stability of the welded nanostructures is better than that of separated nanostructures due to the rearrange of atoms for reducing the surface energy. Cold welding has been regarded as a promising nanofabrication technique in ambient condition.

1 Experiment

1.1 Materials

Sodium tetrahydridoborate (NaBH_4 , 99%), hydrochloric acid (HCl , 37%), Tetrachloroaurate ($\text{HAuCl}_4 \cdot 4\text{H}_2\text{O}$, 99.9%) and ascorbic acid (AA, $\geq 99.7\%$) were obtained from Sinopharm Chemicals. Cetyltrimethylammonium bromide (CTAB, 99%) was obtained from Nanjing Robiot

Co. Trisodium citrate ($\text{Na}_3\text{C}_6\text{H}_5\text{O}_7 \cdot 2\text{H}_2\text{O}$) was obtained from Chengdu Kelong Chemicals. Deionized water (18.25 M Ω) was used in the experiment. All reagents were used without further purification.

1.2 Preparation of Au nanostructures

Au nanostructures (nanoparticles, nanorods and nanoplates) were synthesized with a rapid seed-mediated method. Briefly, the seed solution was prepared by addition of ice-cold, freshly prepared NaBH_4 (0.15 mL, 0.01 mol/L) to an aqueous solution composed of trisodium citrate (0.25 mL, 0.01 mol/L) and HAuCl_4 (0.125 mL, 0.01 mol/L). Then solution was stirred in the oil bath at 45 °C for 15 min and aged for 2 h at room temperature for further preparation of Au nanostructures.

(1) Preparation of Au nanorods. An aqueous solution of CTAB (10 mL, 0.1 mol/L), HAuCl_4 (50 μL , 0.05 mol/L), and 50 μL of freshly prepared ascorbic acid (AA, 0.1 mol/L) solution was used as the growth solution. The growth solution became colorless when the freshly prepared AA (50 μL , 0.1 mol/L) solution was added. The colorless solution was added to the as-prepared seed solution (50 μL) stepwise in intervals of 30 s. The reaction system was kept at 20 °C for 30 min. And the twinned Au nanorods colloids were obtained. The preparation of twinned Au nanorods is different from that of single crystalline Au nanorods, in which Ag^+ were applied and pH was adjusted.

(2) Preparation of Au nanoplates. The Au nanoplates were prepared through adding foreign iodide ions in the growth solution and modifying the experimental parameters. An aqueous solution composed of CTAB (10 mL, 0.1 mol/L), HAuCl_4 (50 μL , 0.05 mol/L), KI (45 μL , 0.01 mol/L), NaOH (50 μL , 0.1 mol/L) and freshly prepared AA (0.2 mL, 0.1 mol/L) was used as the growth solution. The growth solution became colorless when the freshly prepared AA solution was added. The colorless solution was added to the as-prepared seed solution stepwise in intervals of 30 s. The reaction system was kept at 30 °C for 20 min for the growth of Au nanoplates.

(3) Preparation of Au nanospheres. Au nano-

spheres will be dominated in the sample, when the concentration of the reactants in the growth solution are deviated from the equilibrium value of the above nanorods and nanoplates.

1.3 Cold welding

The prepared samples (Au nanoparticles, nanorods and nanoplates) were dropped on a carbon-coated copper grid. And the cold welding of twinned nanostructures were carried out by a natural slow-evaporation process distinguished from the normal fast-dry on the substrate at room temperature (25—30 °C), which is very similar to the general treatment method. The main difference is that the cold welding occurs without necessary for a fast-drying evaporation, and directly derives from the formation mechanism of the natural slow-evaporation process.

The overall morphologies of the samples were observed by transmission electron microscopy (TEM) JEOL-100CX and high-resolution transmission electron microscope (HRTEM) JEOL—2011.

2 Results and Discussion

Various of Au nanostructures, including nanoparticles, nanorods and nanoplates, were fabricated by seed-mediated method. Fig.1 shows the structural TEM images and corresponding HRTEM images (inset). It can be indicated that the nanoparticles (Fig.1(a)) and nanorods (Fig.1(b)) are five-twinned structures^[29-30], and nanoplates is a crystal-line structure with (111) basal plane (Fig.1(c)), accompanied with some single-twined crystalline induced from stacking-fault seeds^[31].

Interestingly, the nanochain (or pair) composed of nanoparticles, nanorods, and nanoplate could be induced when the TEM samples were prepared by a natural slow-evaporation process different from the classical fast-evaporation method. Fig.2 show the TEM and HRTEM images of Au nanostructures under dry in the air. The assembled nanostructures are oriented-attachment with surrounding particles, and are welded together. Obviously, the nanoparticles with diameter of about 30 nm are analogous to nano-decahedron. According to the reported quantitative equilibrium phase

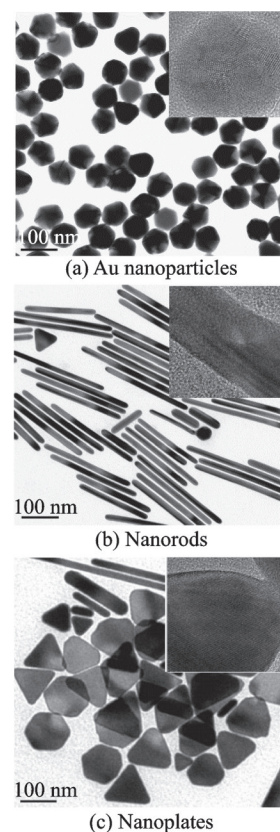


Fig.1 TEM images and the corresponding HRTEM images (inset)

map^[32], it can be known that the decahedron over 15 nm is not a stable nanostructure. Therefore, large size of nano-decahedron would undergo Oswald ripening, to transfer into more stable nanostructures. For the touched Au decahedrons, outer atoms would move toward the neck, resulting in the formation of nanochain.

In order to further investigate the formation of welding, anisotropic Au nanostructures were processed with the similar method. From the TEM images of Fig.3(a) and Fig.3(b), it can be observed that Au nanorods are stable after three months at room temperature. However, when the concentration of surfactant CTAB is decreased, the welded Au nanorods can be formed. The critical concentration of surfactant bilayer CTAB is less than 0.3 mmol/L. When the concentration of CTAB is more than 0.5 mmol/L, no welding evolution during the assemble process can be observed, only several of configurations (such as end-to-end and L-shaped welding) can be formed, as shown in Fig.4(a), which is different from the conventional weld-

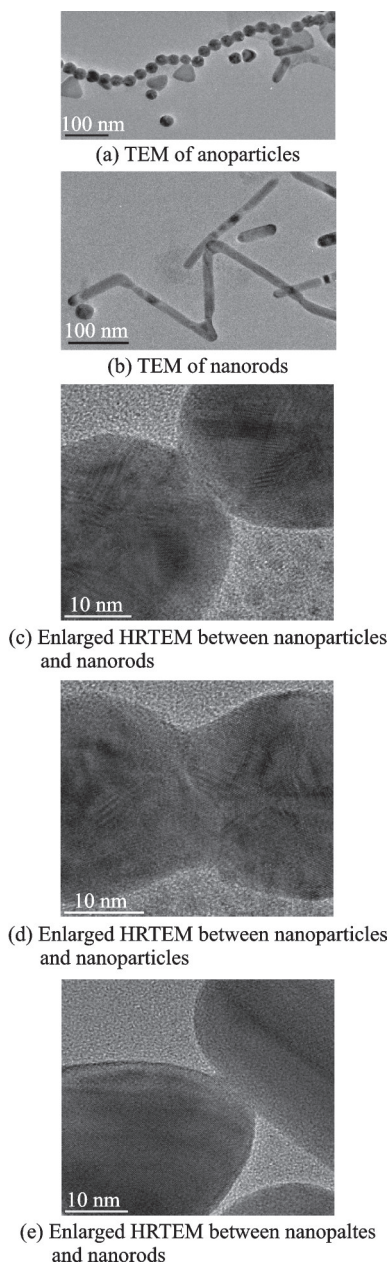


Fig.2 TEM images and the corresponding HRTEM images of Au nanochains composed of nanoparticles and nanorods

ing, since no mechanical force and external energy are exerted on the nanostructures surface. It is demonstrated by the formation of nanoparticle chains in the presence of CTAB (0.25 mmol), as shown in Fig.4(b). Additionally, nanochains or nanopairs composed of Au nanorods with different aspect ratios can be formed at low concentration of surfactant (0.25 mmol/L), as shown in Figs.5(a–c), illustrating the weld phenomenon is independent on the size of Au nanorods within the nanometer size range.

Fig.6 shows the junctional details between dif-

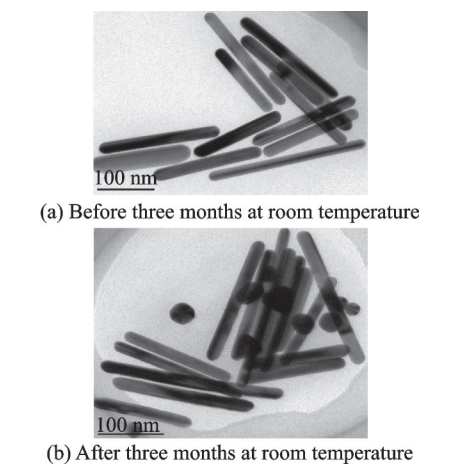


Fig.3 TEM images of Au nanorods colloids

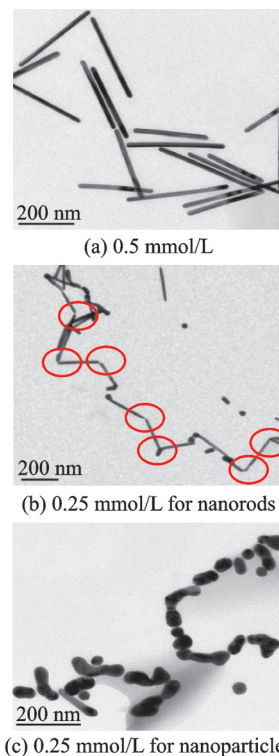


Fig.4 TEM images of Au nanostructures with different concentration of CTAB

ferent Au nanoparticles. It can be found that these nanostructural junctions present different crystalline planes due to Au atoms redistribute along with the interface between nanoparticles. Different types of welding including end-to-end (Fig.6 (a1)) or L-shape (Fig.6(b1)) for nanorods with intertwining crystalline planes can be captured. Additionally, it can be observed that nanorods can be combined and welded with nanoparticles (Fig.6(c1)) and one-dimensional nanoplates (Fig.6(d1)). This illustrates that the evolution and final configuration of nano-

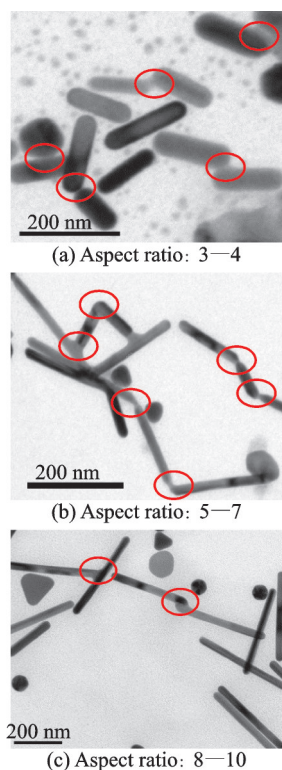
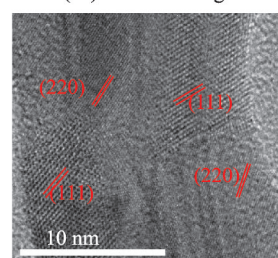
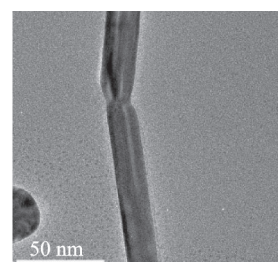


Fig.5 TEM images of Au nanorods with different aspect ratios in the presence of CTAB (0.25 mmol/L)

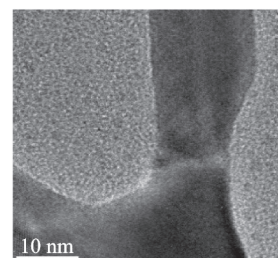
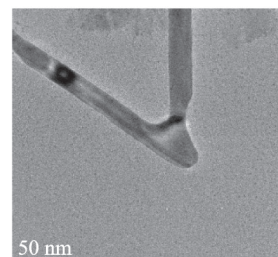
structures depend on the initial structure and state of the system, and cold welding is irrelevant to the initiation of the crystal indices.

Furthermore, from the corresponding HRTEM images in Figs.6 (a2, b2, c2, d2), it can be demonstrated that all dislocations at this observed grain boundary have the shortest Burgers vector, which indicate that the welded nanochain experiences a stretching process, where a plastic deformation can be formed. Plastic deformation proceeds gradually with the emission of dislocation and annihilation of boundary, indicating that structural reorganization occurred at the cross-butting point of the nanoparticles, which can be attributed to the orientation and surface relaxation of the atoms in the nanoparticle.

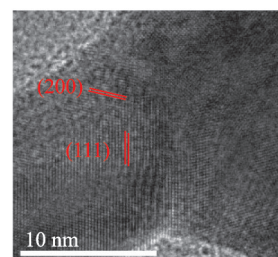
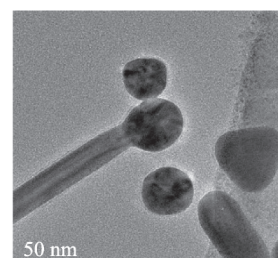
In addition to the concentration of surfactant, the effect of evaporation condition on welding was also investigated. The nanoparticles colloids in the presence of CTAB (0.25 mmol/L) were dropped on the TEM substrates, following dried at room temperature (25—30 °C) and heated oven, respec-



(a) Head-to-head between Au nanorods



(b) L-shaped between Au nanorods



(c) Head-to-head between Au nanorods and nanoparticles

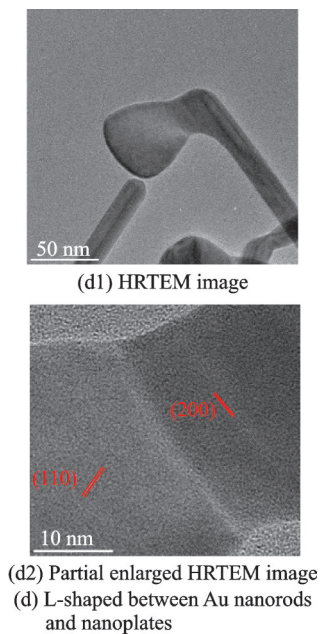


Fig.6 HRTEM images of welding junctions for different types of nanostructures

tively. It can be found that the samples dried in the heated oven would quickly deposit and mono-dispersed on the substrate, as shown in Fig.7(a). While the nanoparticles would interact with adjacent particles during the process of natural slow-evaporation, as shown in Fig.7(b). Obviously, high temperature can promote the drying and depositing of the samples on the substrate, decreasing the interaction of the adjacent particles during the process of natural drying. Fig.7(c) shows the schematic illustration of different drying processes. The low concentration of surfactant and interaction of nanoparticles lead to the cold welding by particles attachment. The oriented-attachment is attributed to the strong Van der Waals force. In addition, the colloidal surfactant must be sufficiently weak to allow the nanoparticles to approach each other down a critical distance, where Van der Waals interactions within this distance can cause further attraction^[19].

The diffusion barrier for a single metal atom on a clean surface of nanostructure is about 1.0 eV, which is enough for small thermal activation to trigger atomic diffusion^[13,33]. Due to the high surface energy on the end surface of nanorods, the slightly oblique with a few internal defects of nanorods is formed before welding. A few dislocations nucleate and propagate on the (111) close packed plane (slip

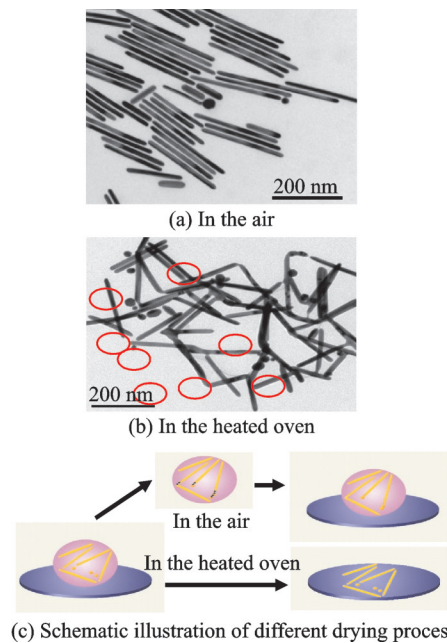


Fig.7 TEM images of dried Au nanostructures

plane) on the surface of the nanorods. Thus, the two approaching nanorods are initially welded with an incomplete jointing area, and emerged to a single nanorod under the Van der Waals attractive force.

Based on the experimental results, DFT was also applied to explore the evolution of Au nanostructures and corresponding strain energy for the welding of head-to-head nanorods. The calculated structural evolution is consistent with the experimental observation, as shown in Fig.8. In the simulation, the initial distance between the two nanorods is set as 9 nm, and then the two nanorods approach slowly at a certain step size (450—650 steps with 0.02 nm/step) with a mechanical force. Fig.8(a) shows the structural evolution from the two approaching nanorods to the welded nanostructure under the mechanical force. An obvious atoms diffusion, oriented attachment and surface relaxation can be observed, as well as lattice recombination at the cross-point can be captured. This phenomenon is consistent with the experimental results shown in Fig.8(b). Meanwhile, the strain energy as a function of displacement in the cold welding of head-to-head nanorods is shown in Fig.8(c). In which, the abscissa describes the relative distance between the nanorods and initial position. Compared with monomer, the strain energy of welded nanostructures is

decreased, illustrating that the stability of welded nanorods is improved, which can be attributed to the minimized surface caused by rearrange of the atoms as the external force is transformed to the strain energy of the structure. Small-sized crystal with higher surface-to-volume ratio possesses much more high-energy adatoms, which tend to escape from the active sites to form thermodynamic stable crystal.

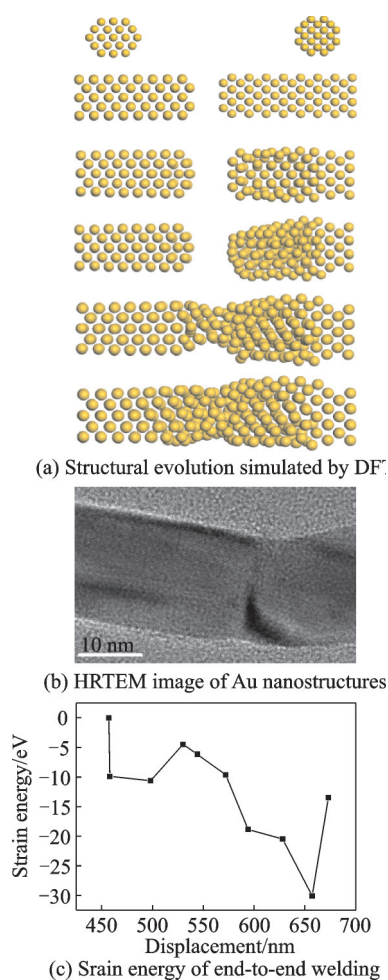


Fig.8 Structural evolution and property analysis for welding of end-to-end nanorods

3 Conclusions

In summary, various of Au nanostructures (Au nanoparticles, nanorods and nanoplates) were successfully synthesized, and cold welding phenomena of these non-single crystalline nanostructures without crystals melting were explored. Systematic studies reveal that the surfactant (CTAB) concentration and drying condition were important factors

which determined the evolution and final configuration of nanostructures on the welding. The concentration of surfactant as low as 0.3 mmol/L is the critical element of cold welding, and welding occurs at slow evaporation with necessary for enough relaxation time as opposed to in-situation deposition for rapid drying. Combined with the experimental observations and DFT simulations, the structural evolution in the welding process is studied. It also can be found that the stability of the welded nanostructures is better than that of dispersed nanostructures due to the improved physical properties in the cross-point caused by the diffusion, epitaxy and surface relaxation of atoms. Cold welding may provide great opportunities in low-cost nanofabrication of nanodevice.

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Author contributions Dr. XU Haiying conducted the experiment, analysis and interpreted the results and wrote the manuscript. Dr. NI Yuan conducted the experimental operation, theoretical simulation, and wrote the manuscript. Dr. MIAO Changzong conducted the sample test and the discussion and background of the study. Prof. KAN Caixia designed the study, revised the manuscript and the results of theoretical simulation. Prof. SHI Daning conducted the background of the study and designed the study. All authors commented on the manuscript draft and approved the submission.

Competing interests The authors declare no competing interests.

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Au 纳米结构的常温冷焊接研究

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摘要: 基于种子生长法制备了常见的 Au 纳米结构(纳米球, 纳米棒和纳米片), 并探究了这些非单晶纳米结构在常温下的冷焊接现象。系统研究表明, 表面活性剂(Cetyltrimethylammonium bromide, CTAB)的浓度和干燥条件是决定焊接过程中纳米结构演变和最终构型的重要因素。表面活性剂浓度低至 0.3 mmol/L 是冷焊的关键因素, 且焊接需在缓慢蒸发和足够的驰豫时间条件下进行, 而非快速干燥过程。同时, 结合电镜表征和密度泛函理论, 模拟金棒头尾焊接过程中的结构演变, 揭示焊接纳米结构的稳定性优于分散纳米结构: 相同晶体结构的 Au 纳米结构在慢蒸发过程中, 附着在纳米粒子表面的低表面活性剂增加了纳米粒子之间的吸引力, 使得相互靠近的纳米粒子由于相互作用而出现附着, 由于金属表面原子的扩散、外延和表面弛豫引起的交叉点物理性能的改变。本文结果为纳米结构的物性分析和缺陷器件的构建提供了研究基础。

关键词: 冷焊接; 金纳米结构; 定向附着; 常温; 密度泛函理论